

# NAT: Neural Architecture Transformer for Accurate and Compact Architectures

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## **1. Background**

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# Background

Deep neural networks have achieved great success in many computer vision tasks, such as **image classification**, **face recognition**, **object detection**, etc.

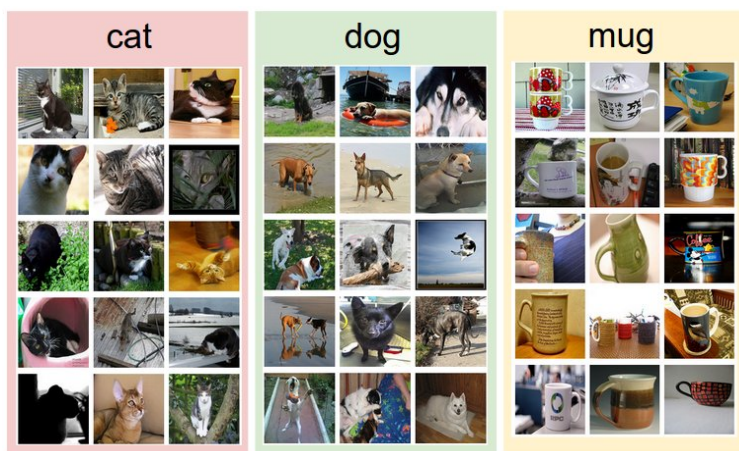
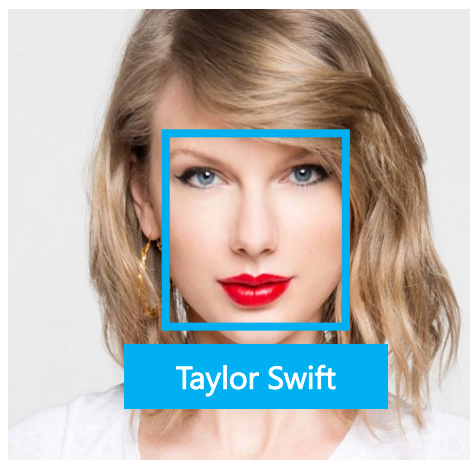
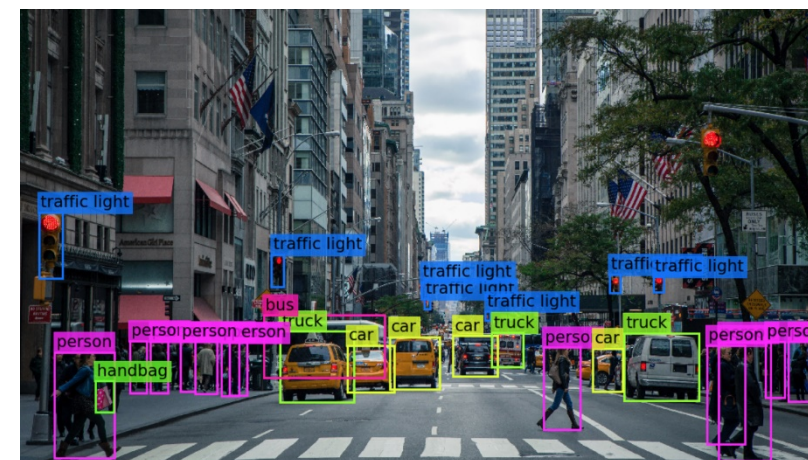


Image Classification



Face Recognition



Object Detection

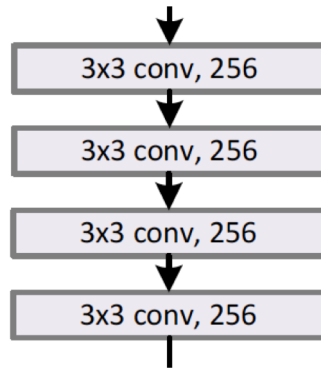
**Figure:** Applications of deep neural networks.

# Neural Architecture Design

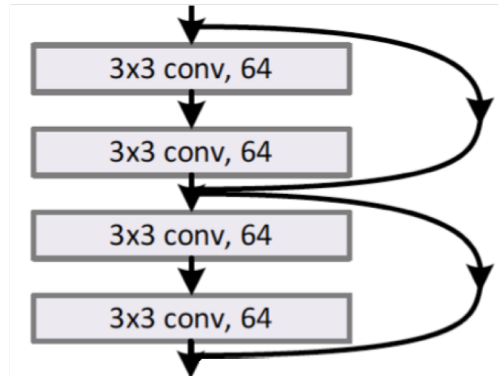
- Neural architecture design is one of the key factors behind the success of deep neural networks.
- Existing architectures can be divided into two categories:
  1. Hand-crafted architectures
  2. Automatically searched architectures

# Hand-crafted Architectures

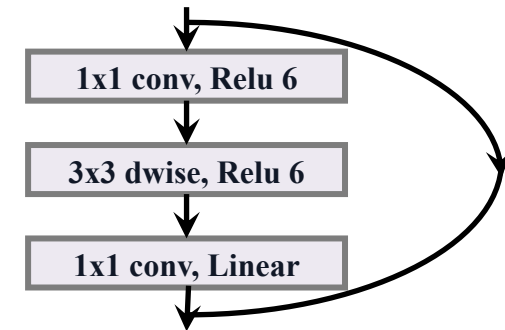
Several widely used hand-crafted architectures:



VGG



ResNet



MobileNetV2

## Limitations of hand-crafted architecture design process

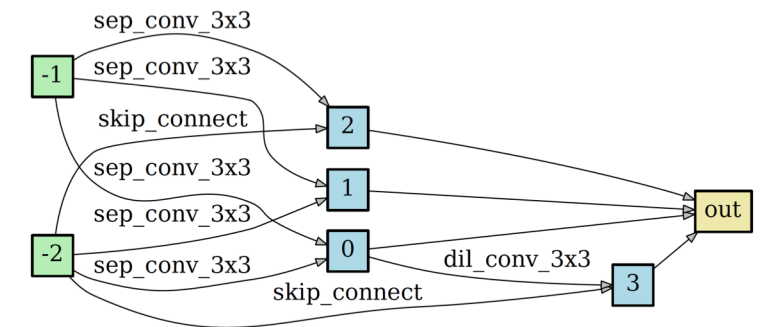
- Hand-crafted methods rely on **substantial human expertise**.
- Hand-crafted methods cannot fully **explore the whole architecture space**.

# Automatically Searched Architectures

- There is a growing interest to **replace the manual process of architecture design** by Neural Architecture Search (NAS).

**Graph Representation of Architectures:** an architecture can be represented by a **directed acyclic graph (DAG)**.

- Node: feature maps of a specific layer
- Edge: a computational operation, e.g., convolution



DARTS normal cell

## Limitations of NAS methods

- **Search space is extremely large**, *e.g.*, billions of candidate architectures.
- NAS methods may find **suboptimal architectures** with limited performance.

# Architecture Optimization

Since both the hand-crafted and NAS based architectures are not optimal, **can we optimize architectures to obtain the better ones?**

- One can **design architecture optimization methods** to optimize existing architectures for better performance.

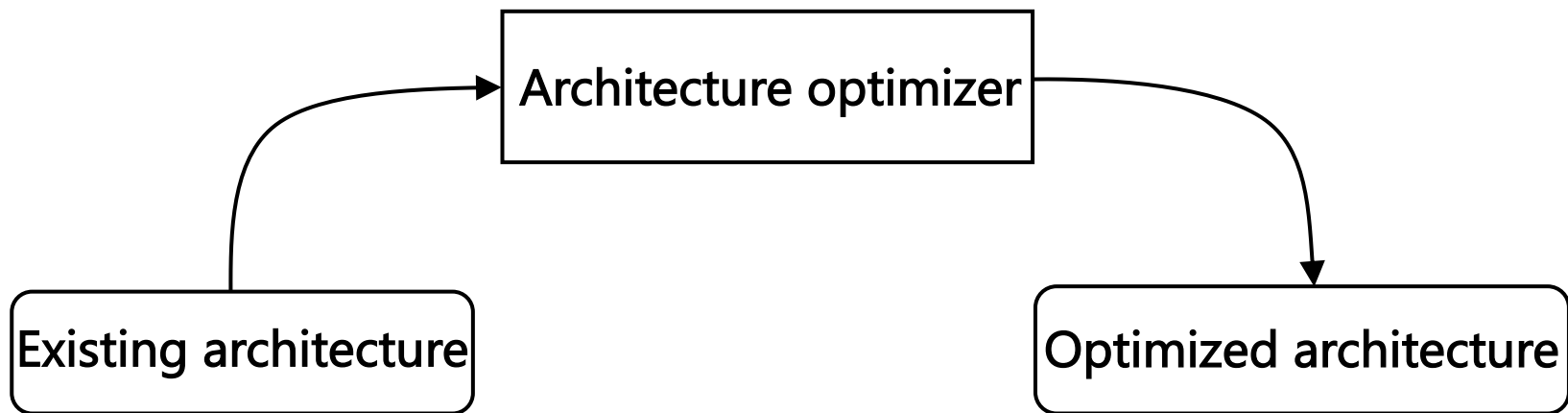
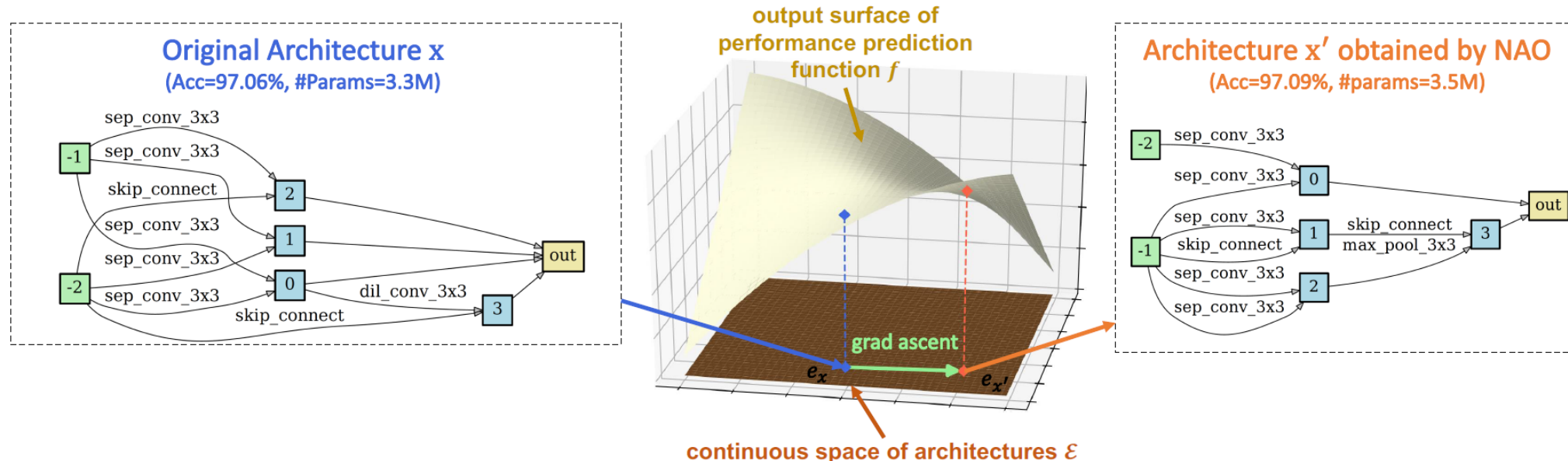


Figure: Architecture optimization scheme.



# Existing Architecture Optimization Methods

## ■ Neural Architecture Optimization (NAO)



## Limitations of NAO

- NAO may introduce extra parameters or additional computational cost.
- NAO has a NAS search space that is unnecessarily huge and expensive to train.

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# Motivation

- Both hand-crafted architectures and NAS based architectures may contain **non-significant** or **redundant operations**.
- Existing architecture optimization methods may **introduce extra parameters** or **additional computational cost** into the architectures.

How to transform the redundant operations in **any arbitrary architecture** to improve the performance without introducing extra computational cost?

# Problem Definition

**Our goal:** Transforming any arbitrary architecture for better performance and less computational cost.

**One solution:** Replacing the redundant operations with the more efficient ones.

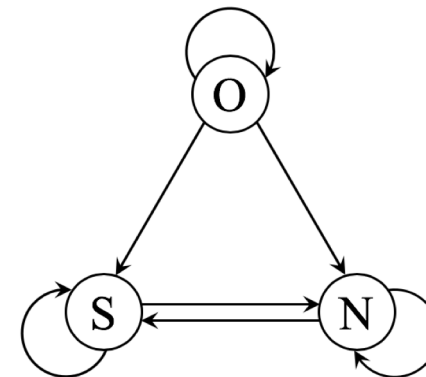


Figure: Operation transformation scheme.

- We divide the operations into three categories  $\{S, N, O\}$ .  $S$  denotes skip connection,  $N$  denotes null connection,  $O$  denotes the other operations.
- We have  $c(O) > c(S) > c(N)$ , where  $c(\cdot)$  evaluates the computational cost.
- To reduce the computational cost, we allow the transitions:  $O \rightarrow S$ ,  $O \rightarrow N$ ,  $S \rightarrow N$ .
- Since skip connection has negligible cost but often can significantly improve the performance, we also allow  $N \rightarrow S$ .

# Optimization for Arbitrary Architecture

Given any arbitrary architecture  $\beta \sim p(\cdot)$ , we seek to find the corresponding optimal architecture  $\alpha$ . Then, the optimization problem can be formulated as

$$\max_{\theta} \mathbb{E}_{\beta \sim p(\cdot)} [R(\alpha|\beta)], \text{ s.t. } c(\alpha) \leq \kappa$$

- $R(\alpha|\beta) = R(\alpha, w_{\alpha}) - R(\beta, w_{\beta})$  denotes the performance improvement between the optimized architectures  $\alpha$  and the given architectures  $\beta$ .  $w_{\alpha}$  and  $w_{\beta}$  are the parameters of  $\alpha$  and  $\beta$ .
- $c(\cdot)$  is a function to measure the computation cost of architectures.
- $\kappa$  is an upper bound of the computational cost.

# Optimization for Arbitrary Architecture

$$\max_{\theta} \mathbb{E}_{\beta \sim p(\cdot)} [R(\alpha | \beta)], \text{ s.t. } c(\alpha) \leq \kappa$$

- It is non-trivial to directly obtain the optimal  $\alpha$ .
- We instead **sample  $\alpha$  from the well learned policy**, denoted by  $\pi(\cdot | \beta; \theta)$ , *i.e.*,  $\alpha \sim \pi(\cdot | \beta; \theta)$ .

To learn the policy, we solve the following optimization problem:

$$\max_{\theta} \mathbb{E}_{\beta \sim p(\cdot)} [\mathbb{E}_{\alpha \sim \pi(\cdot | \beta; \theta)} R(\alpha | \beta)], \text{ s.t. } c(\alpha) \leq \kappa, \alpha \sim \pi(\cdot | \beta; \theta)$$

where  $\mathbb{E}_{\beta \sim p(\cdot)} [\mathbb{E}_{\alpha \sim \pi(\cdot | \beta; \theta)} R(\alpha | \beta)]$  denotes the expectation of  $R(\alpha | \beta)$  over the distribution of  $\beta \sim p(\cdot)$  and the distribution of  $\alpha \sim \pi(\cdot | \beta; \theta)$ .

# Optimization for Arbitrary Architecture

$$\max_{\theta} \mathbb{E}_{\beta \sim p(\cdot)} [\mathbb{E}_{\alpha \sim \pi(\cdot | \beta; \theta)} R(\alpha | \beta)], \text{ s.t. } \underline{c(\alpha) \leq \kappa}, \alpha \sim \pi(\cdot | \beta; \theta)$$

## Several challenges regarding the optimization problem

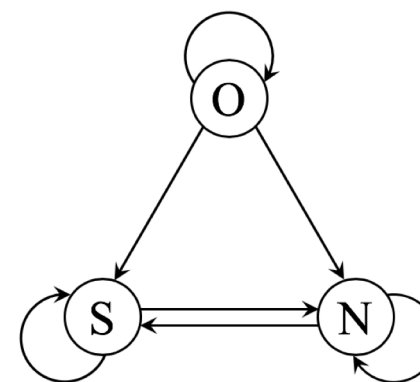
- It is hard to find a comprehensive measure to accurately evaluate the cost.
- The upper bound of computational cost  $\kappa$  is hard to determine.

# Markov Decision Process for Learning NAT

## Our solution

- We cast the optimization problem into an **architecture transformation problem** and reformulate it as a Markov decision process (MDP).
- We seek to optimize architectures by making a series of decisions to **replace redundant operations with the more computationally efficient operations**.

**Benefits:** We do not have to **evaluate the cost**  $c(\alpha)$  or **determine the upper bound**  $K$  to obtain an architecture with less computational cost.



**Figure:** Operation transformation scheme.



# Markov Decision Process for Learning NAT

## Details of MDP

- An **architecture** is defined as a **state**.
- A transformation mapping  $\beta \rightarrow \alpha$  is defined as an **action**.
- The **accuracy improvement** on validation set is regraded as **reward**.
- The **policy**  $\pi(\cdot | \beta; \theta)$  parameterized by  $\theta$  is the **probability distribution** of the action.

Based on MDP, how to build a model to learn the **optimal policy**  $\pi$  ?

# Policy Learning by Graph Convolution Networks

To better exploit the **adjacency information** of the operations in an architecture, we use a two-layer **graph convolutional network (GCN)** to build the controller:

$$\mathbf{Z} = f(\mathbf{X}, \mathbf{A}) = \text{Softmax} \left( \mathbf{A} \sigma \left( \mathbf{A} \mathbf{X} \mathbf{W}^{(0)} \right) \mathbf{W}^{(1)} \mathbf{W}^{\text{FC}} \right)$$

## Notations

- $\mathbf{A}$  : adjacency matrix of the architecture graph.
- $\mathbf{X}$  : attributes of the nodes in the graph.
- $\mathbf{W}^{(0)}$  and  $\mathbf{W}^{(1)}$  : weights of two graph convolution layers.
- $\mathbf{W}^{\text{FC}}$  : weight of the fully-connected layer.
- $\sigma$  : non-linear activation function.
- $\mathbf{Z}$  : probability distribution of different candidate operations, *i.e.*, the learned policy.

# Training Method

We train the transformer parameters  $\theta$  and the model parameter  $w$  in an **alternative** way.

- Training the model parameters  $w$  :

$$w \leftarrow w - \eta \frac{1}{m} \sum_{i=1}^m \nabla_w \mathcal{L}(\beta_i, w)$$

where  $\mathcal{L}(\cdot)$  is the cross-entropy loss,  $\eta$  is the learning rate.

- Training the transformer parameters  $\theta$  :

To encourage exploration, we introduce an **entropy regularization term**:

$$\begin{aligned} J(\theta) &= \mathbb{E}_{\beta \sim p(\cdot)} \left[ \mathbb{E}_{\alpha \sim \pi(\cdot|\beta; \theta)} [R(\alpha, w) - R(\beta, w)] + \lambda H(\pi(\cdot|\beta; \theta)) \right] \\ &= \sum_{\beta} p(\beta) \left[ \sum_{\alpha} \pi(\alpha|\beta; \theta) (R(\alpha, w) - R(\beta, w)) + \lambda H(\pi(\cdot|\beta; \theta)) \right] \end{aligned}$$

where  $H(\cdot)$  evaluates the entropy of the policy, and  $\lambda$  controls the strength of the entropy regularization term.

# Training Method

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**Algorithm 1** Training method for Neural Architecture Transformer (NAT).

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```
1: Initiate  $w$  and  $\theta$ .
2: while not convergent do
3:   for each iteration on training data do
4:     Sample  $\beta_i \sim p(\cdot)$  to construct a batch  $\{\beta_i\}_{i=1}^m$ .
5:     Update the model parameters  $w$  by descending the gradient.
6:   end for
7:   for each iteration on validation data do
8:     Sample  $\beta_i \sim p(\cdot)$  to construct a batch  $\{\beta_i\}_{i=1}^m$ .
9:     Obtain  $\{\alpha_j\}_{j=1}^n$  according to the policy learned by GCN.
10:    Update the parameters  $\theta$  by ascending the gradient.
11:   end for
12: end while
```

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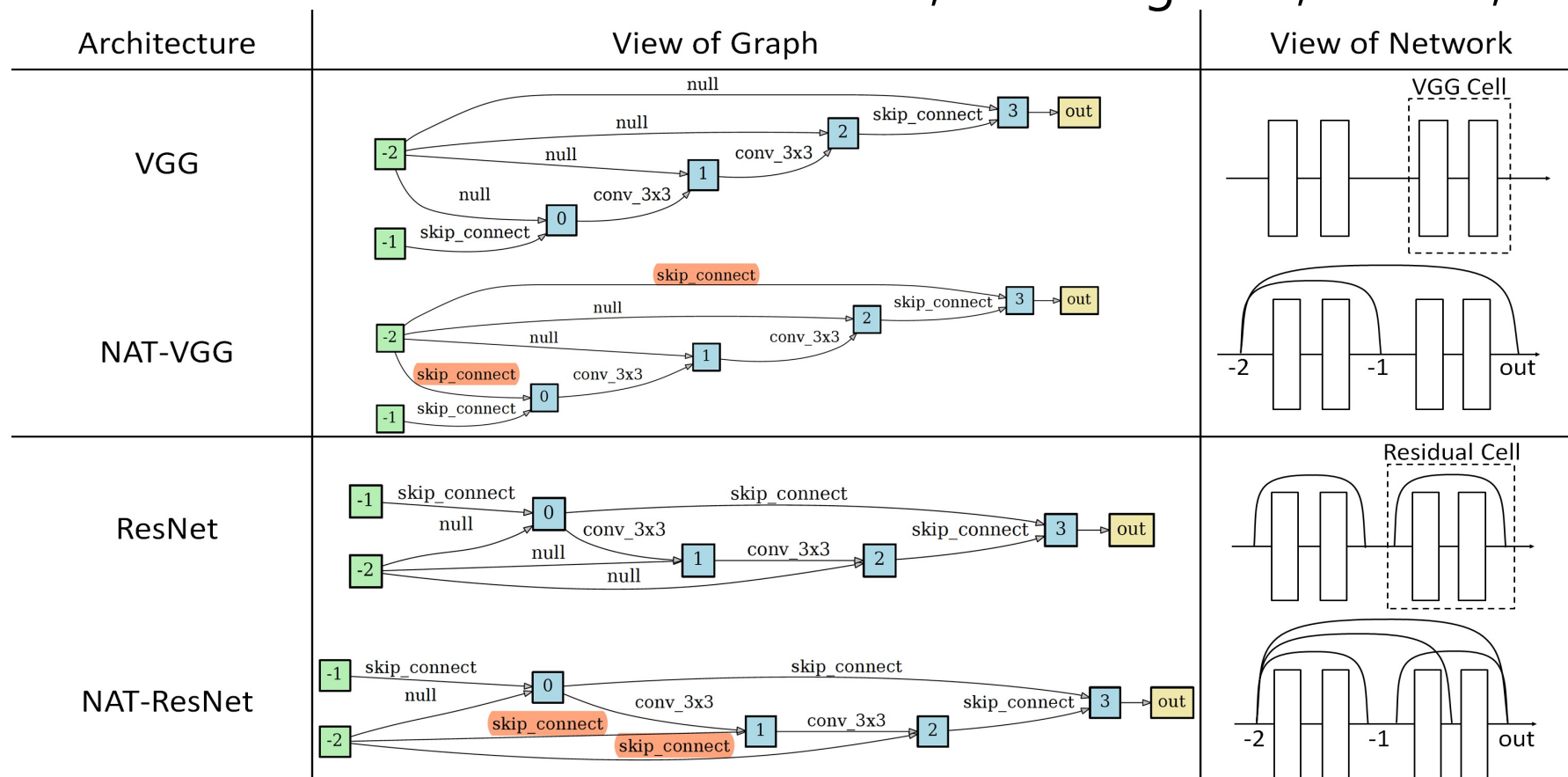
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# Visual Results of Hand-crafted Architectures

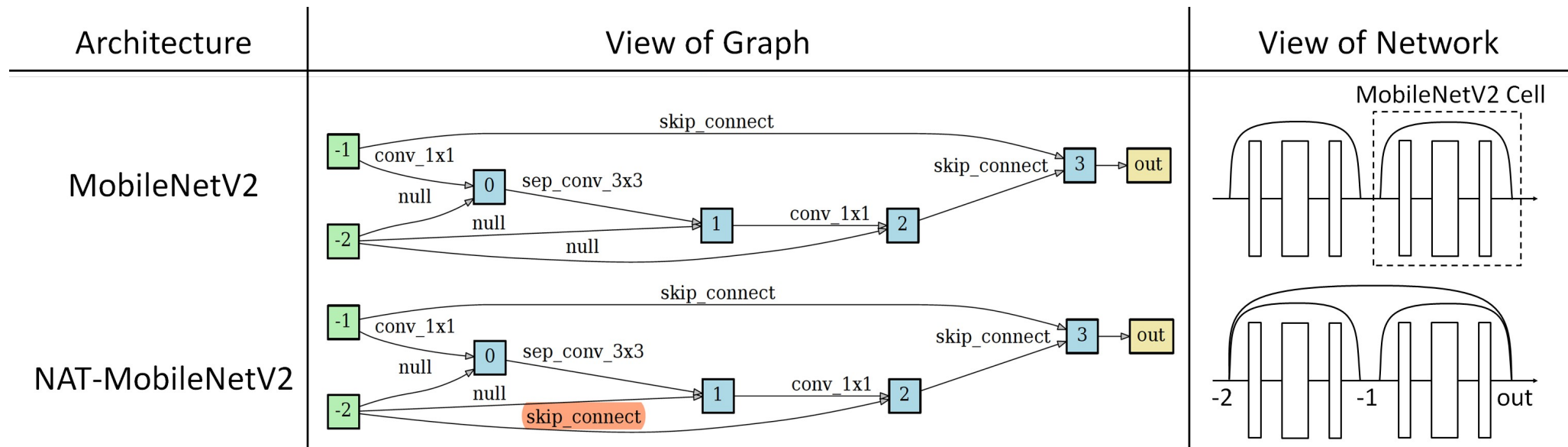
- Results on several hand-crafted architectures, including VGG, ResNet, and MobileNet.



➤ NAT introduces additional skip connections to improve the performance.

# Visual Results of Hand-crafted Architectures

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# Comparison on Hand-crafted Architectures

- Results on several hand-crafted architectures, including VGG, ResNet, and MobileNet.

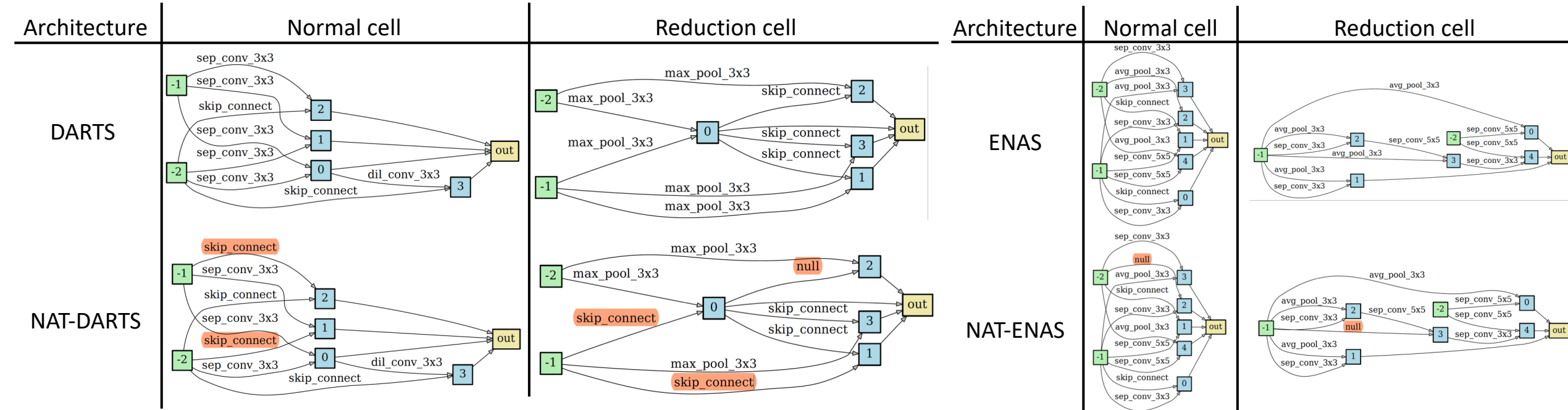
CIFAR-10					ImageNet					
Model	Method	#Params (M)	#MAdds (M)	Acc. (%)	Model	Method	#Params (M)	#MAdds (M)	Acc. (%)	
									Top-1	Top-5
VGG16	/	15.2	313	93.56	VGG16	/	138.4	15620	71.6	90.4
	NAO[32]	19.5	548	95.72		NAO [32]	147.7	18896	72.9	91.3
	NAT	15.2	315	<b>96.04</b>		NAT	138.4	15693	<b>74.3</b>	<b>92.0</b>
ResNet20	/	0.3	41	91.37	ResNet18	/	11.7	1580	69.8	89.1
	NAO [32]	0.4	61	92.44		NAO [32]	17.9	2246	70.8	89.7
	NAT	0.3	42	<b>92.95</b>		NAT	11.7	1588	<b>71.1</b>	<b>90.0</b>
ResNet56	/	0.9	127	93.21	ResNet50	/	25.6	3530	76.2	92.9
	NAO [32]	1.3	199	95.27		NAO [32]	34.8	4505	77.4	93.2
	NAT	0.9	129	<b>95.40</b>		NAT	25.6	3547	<b>77.7</b>	<b>93.5</b>
MobileNetV2	/	2.3	91	94.47	MobileNetV2	/	3.4	300	72.0	90.3
	NAO [32]	2.9	131	94.75		NAO [32]	4.5	513	72.2	90.6
	NAT	2.3	92	<b>95.17</b>		NAT	3.4	302	<b>72.5</b>	<b>91.0</b>

- NAT based models yield **significantly better performance** with approximately **the same computational cost** as the baseline models.



# Visual Results on NAS based Architectures

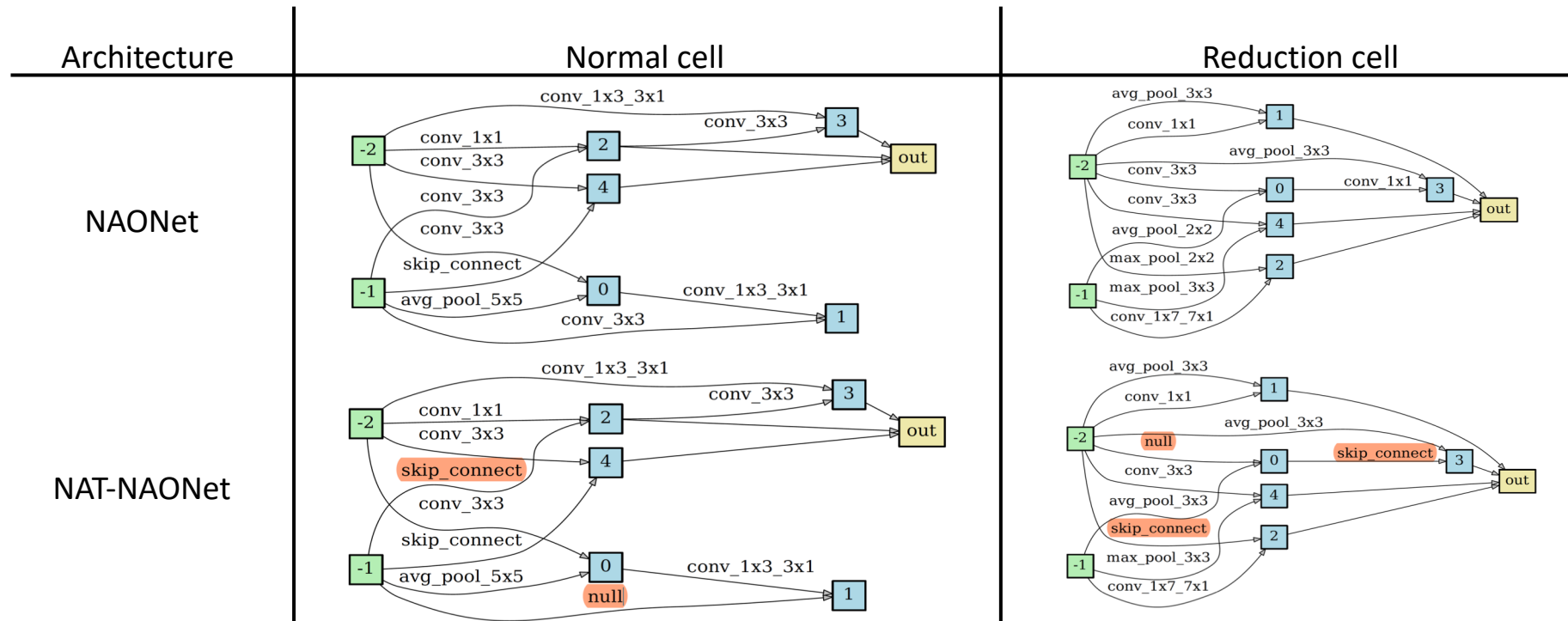
- Results on several NAS based architectures, including ENAS, DARTS, and NAONet.



- NAT replaces several redundant operations with the skip connections or directly removes the connections to reduce computation cost.

# Visual Results on NAS based Architectures

- Results on several NAS based architectures, including ENAS, DARTS, and NAONet.



- NAT replaces several redundant operations with the skip connections or directly removes the connections to reduce computation cost.

# Comparison on NAS based Architectures

- Results on several NAS based architectures, including ENAS, DARTS, and NAONet.

CIFAR-10					ImageNet				
Model	Method	#Params (M)	#MAdds (M)	Acc. (%)	Model	Method	#Params (M)	#MAdds (M)	Acc. (%)
									Top-1 Top-5
AmoebaNet <sup>†</sup> [37]		3.2	-	96.73	AmoebaNet [37]		5.1	555	74.5 92.0
PNAS <sup>†</sup> [29]	/	3.2	-	96.67	PNAS [29]	/	5.1	588	74.2 91.9
SNAS <sup>†</sup> [50]		2.9	-	97.08	SNAS [50]		4.3	522	72.7 90.8
GHN <sup>†</sup> [54]		5.7	-	97.22	GHN [54]		6.1	569	73.0 91.3
ENAS <sup>†</sup> [36]	/	4.6	804	97.11	ENAS [36]	/	5.6	679	73.8 91.7
	NAO [32]	4.5	763	97.05		NAO [32]	5.5	656	73.7 91.7
	NAT	4.6	804	<b>97.24</b>		NAT	5.6	679	<b>73.9 91.8</b>
DARTS <sup>†</sup> [30]	/	3.3	533	97.06	DARTS [30]	/	5.9	595	73.1 91.0
	NAO [32]	3.5	577	97.09		NAO [32]	6.1	627	73.3 91.1
	NAT	3.0	483	<b>97.28</b>		NAT	3.9	515	<b>74.4 92.2</b>
NAONet <sup>†</sup> [32]	/	128	66016	97.89	NAONet [32]	/	11.35	1360	74.3 91.8
	NAO [32]	143	73705	97.91		NAO [32]	11.83	1417	74.5 92.0
	NAT	113	58326	<b>98.01</b>		NAT	8.36	1025	<b>74.8 92.3</b>

- NAT based models yield significantly better performance with less or comparable computational cost as the baseline models.

# Comparison of Different Policy Learners

- We compare several policy learners, including Random Search, LSTM, and two GCN based methods.

Method	VGG16	ResNet20	MobileNetV2	ENAS <sup>†</sup>	DARTS <sup>†</sup>	NAONet <sup>†</sup>
/	93.56	91.37	94.47	97.11	97.06	97.89
Random Search	93.17	91.56	94.38	96.58	95.17	96.31
LSTM	94.45	92.19	95.01	97.05	97.05	97.93
Maximum-GCN	94.37	92.57	94.87	96.92	97.00	97.90
Sampling-GCN (Ours)	<b>95.93</b>	<b>92.97</b>	<b>95.13</b>	<b>97.21</b>	<b>97.26</b>	<b>97.99</b>

- Our Sampling-GCN method significantly outperforms the other methods.

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# Conclusion

- We propose a novel Neural Architecture Transformers (NAT) to optimize **any arbitrary architectures** for **better performance without extra computational cost**.
- We cast the problem into a **Markov decision process (MDP)** and employ **graph convolutional network (GCN)** to learn the optimal policy.
- Extensive experiments show the effectiveness of NAT on both **hand-crafted and NAS based architectures**.

Thanks!  
Q & A